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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/EP99/00161 <b>(22) International Filing Date:</b> 13 January 1999 (13.01.99)  <b>(30) Priority Data:</b> 98100485.6      13 January 1998 (13.01.98)      EP  <b>(71) Applicant (for all designated States except US):</b> BIOCHIP TECHNOLOGIES GMBH [DE/DE]; Engesserstrasse 4b, D-79108 Freiburg (DE).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> KLAPPROTH, Holger [DE/DE]; Kehlerstrasse 12, D-79108 Freiburg (DE). BERNAUER, Hubert, S. [DE/DE]; Weberstrasse 38, D-79249 Merzhausen (DE).  <b>(74) Agent:</b> VOSSIUS & PARTNER; Siebertstrasse 4, D-81675 München (DE).		<b>(81) Designated States:</b> CA, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>
<b>(54) Title:</b> METHOD FOR THE DETECTION OF NUCLEIC ACID SEQUENCES  <b>(57) Abstract</b>  The present invention relates to a novel method for the detection of a nucleic acid sequence within a nucleic acid molecule. The method of the invention relies on the combination of nucleic acid protection, ligation of oligonucleotides to the protected nucleic acid molecules and amplification of the ligation products. The detection of the amplified products is advantageously effected by converting the same to the single-stranded form and hybridizing the single-stranded form thereof to an array of single-stranded nucleic acid molecules of at least partially predetermined sequence fixed to a solid support. The solid support is preferably a chip. Detection of hybridized molecules can be effected according to conventional methods. The present invention additionally relates to a kit for carrying out the method of the invention.		

### **Method for the Detection of Nucleic Acid Sequences**

The present invention relates to a novel method for the detection of a nucleic acid sequence within a nucleic acid molecule. The method of the invention relies on the combination of nucleic acid protection, ligation of oligonucleotides to the protected nucleic acid molecules and amplification of the ligation products. The detection of the amplified products is advantageously effected by converting the same to the single-stranded form and hybridizing the single-stranded form thereof to an array of single-stranded nucleic acid molecules of at least partially predetermined sequence fixed to a solid support. The solid support is preferably a chip. Detection of hybridized molecules can be effected according to conventional methods. The present invention additionally relates to a kit for carrying out the method of the invention.

The detection of nucleic acid sequences within biological samples becomes increasingly important. With the advent of the polymerase chain technology (PCR) (see, for example, Saiki et al, Science 239 (1988) 487-491), a significant advance in the role of molecular biology in diagnosis was achieved. In addition, a growing number of genes has become available, mutations in which are related to human diseases. For example, Scherzinger et al, Cell 90 (1987), 549-558, have demonstrated a correlation between the number of glutamine repeats in the huntingtin gene and a phenotype correlated with Huntington's Disease. It is expected that further human diseases will be directly linked to genetic disorders in the future. A number of methods are available that allow the detection of genetic disorders such as point mutations, deletions or duplications. Such methods include PCR, RFLP analysis or Southern blotting in combination with nucleic acid hybridization. Often, these methods are, however, still rather laborious or allow a detection of only one nucleic acid sequence at a time. For

- (e) carrying out an amplification reaction with the product of step (d) or (d') using primers that hybridize to said oligonucleotides or transcribing the product of step (d) or (c); and
- (f) detecting the product of step (e).

The method of the invention allows, by using only one pair of e.g. complementary oligonucleotides and two primers (subsequently also termed "universal primers") hybridizing thereto, the amplification and subsequent detection of virtually any nucleic acid sequence that forms a double-stranded nucleic acid hybrid with a chosen probe. It is particularly preferred that one and the same oligonucleotide is used for ligation to the 5'-end as well as the 3'-end and also as the primer. Examples of such oligonucleotides are oligonucleotides comprising palindromic sequences. Thus, optionally, one oligonucleotide is sufficient for all steps to be carried out in the method of the invention.

In accordance with the present invention, the term "nucleic acid molecule" comprises also any feasible derivative of a nucleic acid to which a nucleic acid probe may hybridize. In addition, the nucleic acid probe may be any derivative of a nucleic acid capable of hybridizing to said nucleic acid molecule.

In other embodiments, the primers employed in the method of the invention may not be identical with the oligonucleotides used for ligation. Nevertheless, advantageously the same primer may be used for hybridization to the 3'-end and the 5'-end of the target oligonucleotide, depending on the sequence of said target.

Consequently, the need for preparing a larger number of different primers or primer pairs for the analysis of biological samples falls away. If desired, oligonucleotides or pairs of oligonucleotides with different nucleic acid sequences may be employed and manipulated according to conventional methods for ligation either to the 5'- or 3'- ends of the amplified nucleic acid molecules (see, for example, Sambrook et al, "Molecular Cloning, A Laboratorial Manual" CSH Press, Cold Spring Harbor, (1989)). Naturally, more than one single-stranded nucleic

As regards the embodiment comprising the transcription of the product in step (e), the following should be noted: in this embodiment, at least one of the oligonucleotides to be ligated to the protected or protecting nucleotide sequence comprises a promoter for a polymerase, preferably a RNA polymerase. Upon ligation, the corresponding transcript can be produced and visualized according to standard protocols.

By setting the conditions for hybridization, the person skilled in the art can determine if strictly complementary sequences or sequences with a higher or lower degree of homology are to be detected. The setting of conditions is well within the skill of the artisan and to be determined according to protocols described, for example, in Sambrook, loc. cit. or Hames and Higgins, "Nucleic acid hybridization, a practical approach", IRL Press, Oxford (1985). Thus, the detection of only specifically hybridizing sequences will usually require stringent hybridization and washing conditions such as 0.1xSSC, 0.1% SDS at 65° C. Non-stringent hybridization conditions for the detection of homologous and not exactly complementary sequences may be set at 6xSSC, 1% SDS at 65°C. As is well known, the length of the probe and the composition of the nucleic acid to be determined constitute further parameters of the hybridization conditions.

For the other steps required in the method of the invention, the person skilled in the art is in the position to practice them by reverting, for example, to conventional protocols. Thus, the removal of single-stranded nucleic acid that did not form a hybridization product with the oligo- or polynucleotide used as a probe as well as of probes that did not hybridize to any target nucleic acid sequence can be effected according to protocols described, for example, in Sambrook et al, loc. cit. For example, single-stranded nucleic acid such as mRNA may be removed by S1 nuclease or mung bean nuclease digestion. Double-stranded nucleic acid such as DNA may be removed by employing protocols using  $\lambda$ -exonuclease. Conventional protocols may also be employed for the ligation step, the conversion of double-stranded nucleic acid into single-stranded nucleic acid or for the amplification

DNA, cDNA and genomic DNA. Any of the above preferred embodiments may also be (semi)synthetically produced.

Whereas a number of options are available to the person skilled in the art for removal of the non-hybridized nucleic acid in step (b), it is preferred that said removal is effected by exonuclease activity, such as  $\lambda$ -exonuclease activity optionally in combination with (restriction) endonuclease activity, by affinity chromatography using, for example, antibodies specific for double-stranded nucleic acid coupled to a conventional matrix or by gel-electrophoresis or HPLC. It is also envisaged in accordance with the present invention that any one or the combination of the above mentioned nuclease activities are combined with affinity chromatography, gel-electrophoresis and/or HPLC in order to remove non-hybridized nucleic acid in step (b). As used in accordance with the present invention, the phrase "removing non-hybridized nucleic acid" evidently also comprises the removal of single-stranded regions of said nucleic acid that have not formed a nucleic acid hybrid with said one or more nucleic acid probes.

In an additional preferred embodiment of the method of the invention, the oligonucleotides used for ligation are masked at their 3'-ends. Masking the 3'- or 5'-ends of said oligonucleotides in this embodiment of the invention is required if template independent ligation method as with T4-RNA-ligase is used. For example, one would use one primer which has no 5'-phosphate and a normal 3'-hydroxy group which is ligated to the 5'-phosphate group of the nucleic acid to be analyzed thereby forming a phosphodiesterbond. For the primer ligation to the 3'-hydroxy group of said analyte one might use a 3'-blocked oligonucleotide (e.g. an oligonucleotide carrying an amino block) with an intact 5'-phosphate group.

3'- as well as 5'- primer oligonucleotides are able to form a dimer. The dimers have a 5'-hydroxy group and a 3'-blocked end unable to form polymers of higher order. The primer ligation steps to the 3'-end and to the 5'-end have, in these embodiments, therefore to be carried out sequentially in separate reactions to avoid primer-dimer formations. Alternatively, the oligonucleotides used for ligation

detection step (f) without the need for an additional detectable means. Conveniently, the label is a radioactive label, a fluorochrome, a bioluminescent label, a chemiluminescent label, a hapten, an enzyme such as horseradish peroxidase or a chelator for the detection of bound metals.

As has been mentioned herein above, the detection step (f) can be carried out by a variety of conventional protocols. Such methods include filter hybridization, PCR-ELISA, mass spectroscopy and dot blot assays. For example, if the protecting nucleic acid molecules are designed to have a distinguishable length, the protected nucleic acid sequences/molecules have a distinct length and mass. Accordingly, such molecules, in a wide range of masses, will be appropriate for analysis by mass spectroscopy. In addition, once the nucleic acid sequence in the sample has been determined, it can be verified by nucleic acid sequencing if an exactly complementary nucleic acid sequence was searched for or it can be determined if a homologous sequence was to be identified.

For detecting the amplification product in step (f), the following detailed protocol is particularly preferred:

- (f') converting the amplified product of step (e) into single-stranded form;
- (f'') contacting the single-stranded nucleic acid molecules obtained in step (f') with an array of single-stranded nucleic acid molecules with at least partially predetermined sequences attached to a solid support under conditions that allow the formation of hybrids between said single-stranded nucleic acid molecules and nucleic acid molecules with said at least partially predetermined sequence to occur; and
- (f''') detecting the formation of hybrids formed in step (f'').

Again, the conversion of the amplified product into single-stranded form can be effected by conventional protocols that have been outlined above.

Also, the hybridization conditions in step (f'') will be determined along the various schemes delineated herein above. The single-stranded nucleic acid molecules

In a further preferred embodiment of the method of the invention, after step (b) and prior to step (e), the following step is carried out

- (b') cleaving a mismatch contained in the hybrid obtained in step (b). The step characterizing this embodiment may be carried out prior to or after any of steps (c), (c'), (d), and (d'). Cleaving of this matches is conveniently done by the employment of appropriate enzymes such as Cleavase™ (Boehringer Mannheim). Upon cleavage of one or optionally both of the hybridized strands, an amplification product will not form any longer. This specific embodiment of the invention will find wide range of applications in the demonstration of the presence of specific mRNA sequences or of mutations in a genomic sequences related, for example, to cancer. Thus, it is known Ras sequences contributing to the formation of tumorous cells carry one specific mutation in their coding sequence. Preparing a protecting oligonucleotide that is exactly complementary to the mutated sequence will result in the amplification of that mutated sequence. Accordingly, cancerous cells can be identified in the sample by applying the method of the invention. If the protecting oligomer is hybridized only to wildtype sequences, a mismatch will be formed and, upon cleavage, no amplification product will be produced. Accordingly, the lack of appearance of an amplification product is indicative of the absence of tumorous cells. The basic teaching underlying this embodiment can, of course, be applied to the person skilled in the art to a wide variety of purposes.

Further, the present invention relates to a kit comprising

- (a) matrix bound protecting nucleic acid molecules; and
- (b) universal primer oligonucleotides.

The matrix may be any conventional matrix such as polystyrol or magnetic beads or a chip. The person skilled in the art is able to design the protecting nucleic acid



**Figure 2:** One further embodiment of the invention drawn schematically and comprising the amplification of nucleic acid fragments after the protection reaction using matrix bound protector molecules.

- (A) nucleic acids capture
- (B) degradation of non-protected nucleic acids
- (C) ligation of primers
- (D) universal PCR.

**Figure 3:** Universal PCR for the amplification of nucleic acid fragments after the protection reaction.

P1 = primer 1; cP1 = complementary to primer P1; P2 = primer 2; cP2 = complementary to primer P2

In the first ligation step a primer oligonucleotide is ligated to the 5'-end of the protected molecule. In the second ligation step an other oligonucleotide is ligated to the 3'-end of the protected DNA-molecule.

- (A) Synthesis of the one strand with one primer.
- (B) Synthesis of the one strand with the other primer. The possibility of primer dimers having their origin in the ligation reactions if there are contaminating DNA molecules of one species ligated to the other one can be circumvented if
- (C)
  1. there are no contaminating molecules to be ligated together; or if
  2. the sequences of the ligated primer molecules form a recognition site for a restriction enzyme (indicated in thin bars) and can be cleaved in a subsequent reaction D (e.g. in the amplification reaction if a thermostable restriction endonuclease like TthI is present in the buffer).

Insulin specific mRNA was prepared from freshly obtained pancreatic tissue according to standard procedures. The mRNA was dissolved in hybridization buffer and insulin specific oligonucleotides of the following sequence:

5'TGGGGCTGCTCTCTCCAAGGTAGGAAGGGGACACCCTGGCCGGTCAAGC  
CTGGAGGGTGTGTTGGGTGCTCTCTCTGGAGGGCAATGTCTAGGCCCTCGAG  
-3'

to be used as protectors which were bound to matrix beads were added. The hybridization was carried out under constant agitation of the hybridization mixture for 16 hours at 65°C. Subsequently, the hybridization solution was centrifuged and unhybridized RNA was removed by washing the pellet and then repeating the centrifugation and washing steps three times. Single-stranded nucleic acid was subsequently removed by using either S1 nuclease or mung bean nuclease and incubating the mixture at 37°C for an hour. Afterwards, the nucleases were removed by alternative washing steps with conventional washing buffers and centrifugation. The remaining hybrids were denatured by treatment either under heating conditions or with low salt buffer. The protecting nucleic acid was removed by centrifugation. The supernatant was transferred to a new reaction vessel. To the supernatant conventional ligation buffer was added. The first oligonucleotide was added and ligated for one hour with T4-RNA ligase. Not ligated oligonucleotide was removed by conventional washing steps. Subsequently, the second primer was ligated using the same ligation conditions as above. Again, the not ligated oligonucleotide was removed by conventional washing steps. The ligated nucleic acid was precipitated by adding ethanol and salt using conventional conditions. To the precipitated nucleic acid a conventional RT-PCR buffer mix was added. The nucleic acid was amplified employing 30 PCR cycles in the presence of fluorescence labeled primer oligonucleotides complementary to the oligonucleotides ligated to the target sequence. The amplified product was analyzed with a  $\beta$ -Imager (Molecular Dynamics).

### Claims

1. A method for the detection of a nucleic acid sequence within a nucleic acid molecule comprising the steps of
  - (a) hybridizing single-stranded nucleic acid to one or more single-stranded nucleic acid probes;
  - (b) removing non-hybridized nucleic acid from the product of step (a);
  - (c) converting the hybrid obtained in step (b) into single-stranded form;
  - (d) ligating oligonucleotides to the single-stranded nucleic acid obtained in step (c); or
  - (c') ligating oligonucleotides to the hybrid obtained in step (b);
  - (d') converting the ligation product of step (c') into single-stranded form;
  - (e) carrying out an amplification reaction with the product of step (d) or (d') using primers that hybridize to said oligonucleotides or transcribing the product of step (d) or (c); and
  - (f) detecting the product of step (e).
2. The method of claim 1 wherein said nucleic acid is RNA.
3. The method of claim 1 or 2 wherein said nucleic acid is DNA.
4. The method of any one of claims 1 to 3 wherein said nucleic acid is PNA.
5. The method of any one of claims 1 to 4 wherein the removal of non-hybridized nucleic acid in step (b) is effected by nucleases activity, affinity chromatography or gel electrophoresis.
6. The method of anyone of claims 1 to 5 wherein said oligonucleotides are masked at their 3' ends.

- (a) matrix-bound protecting nucleic acid molecules; and
- (b) universal primer oligonucleotides.

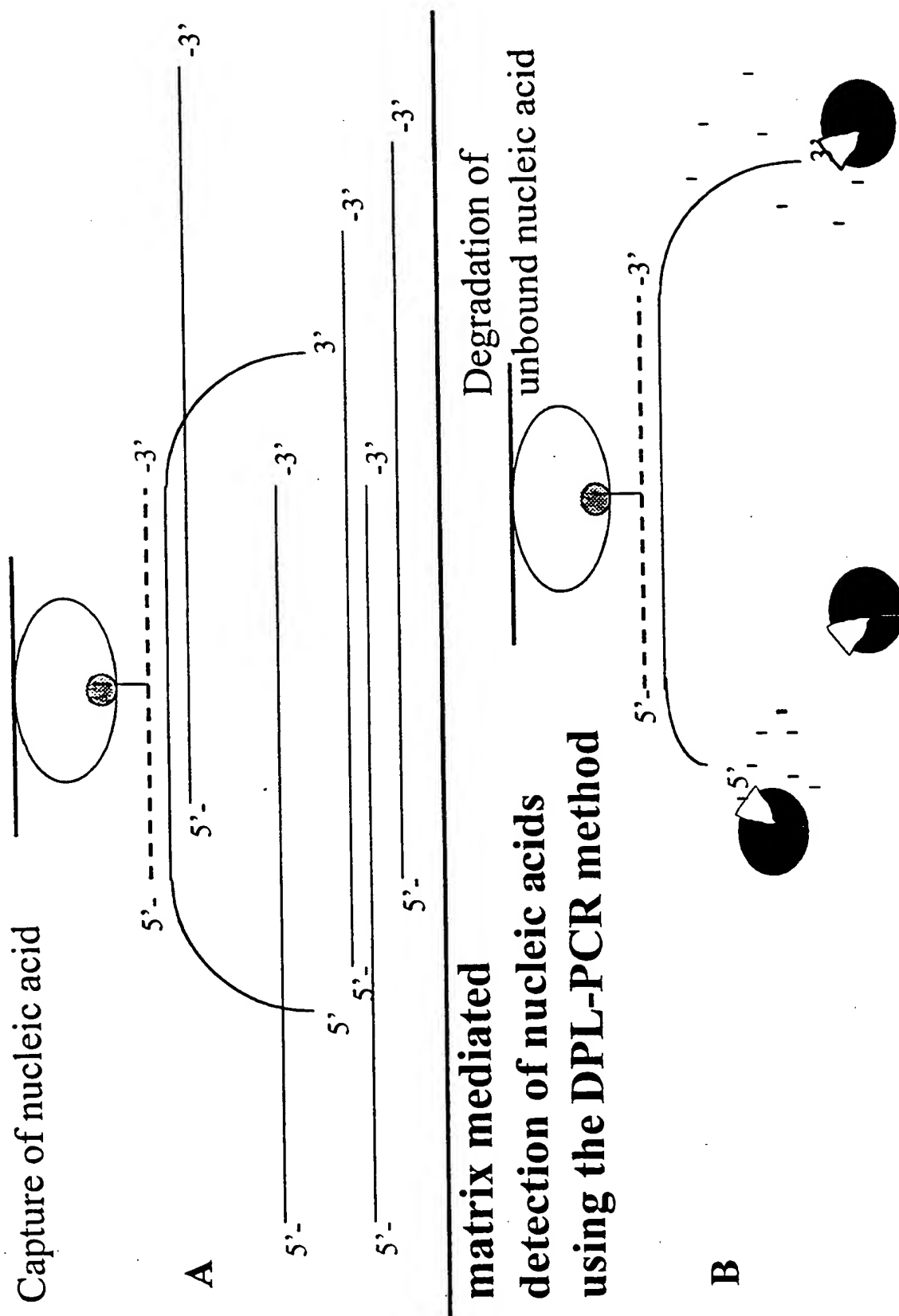


Fig. 2

# Universal PCR amplification

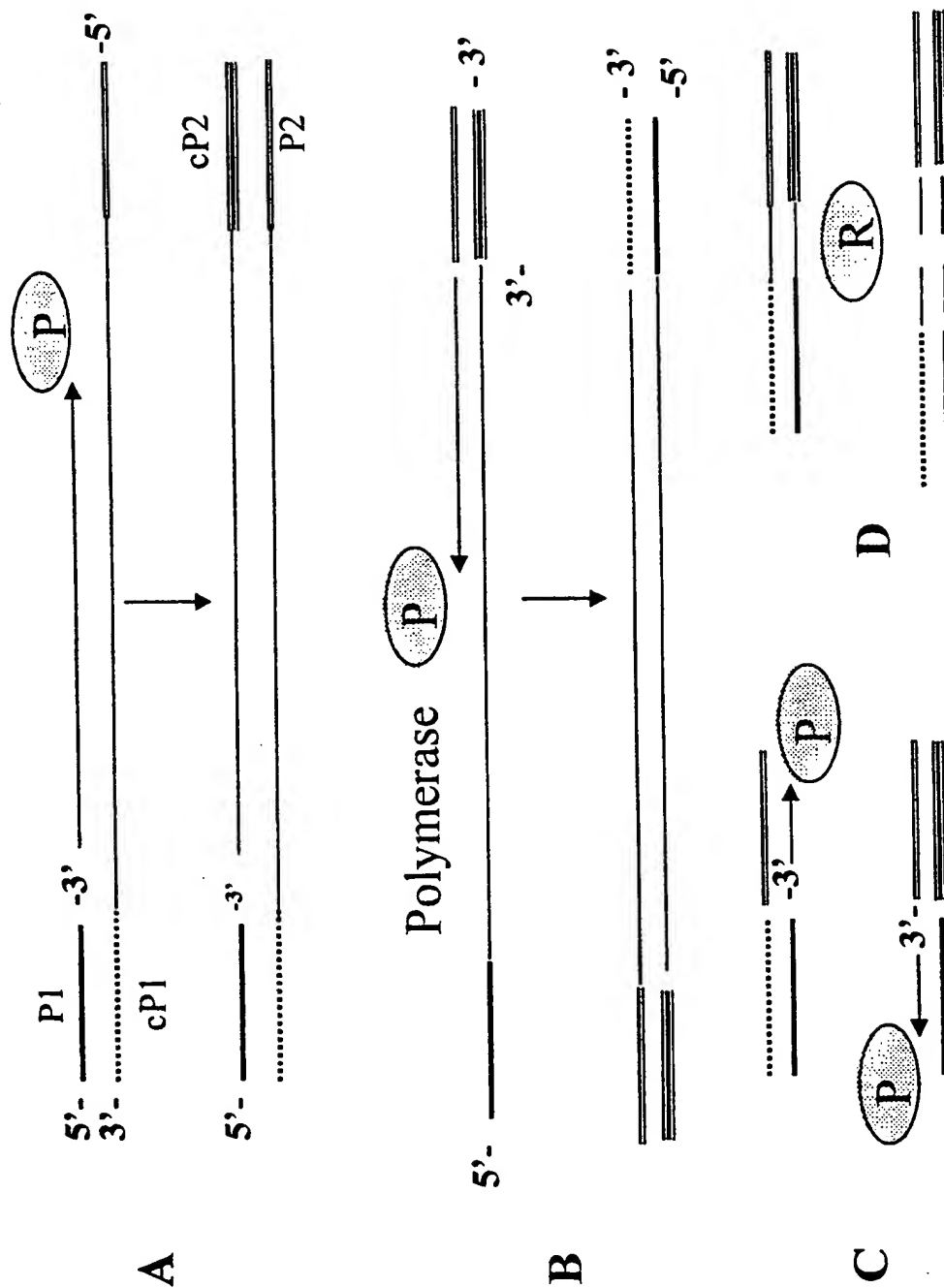


Fig. 3



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(21) International Application Number: PCT/EP99/00161 (22) International Filing Date: 13 January 1999 (13.01.99) (30) Priority Data: 98100485.6 13 January 1998 (13.01.98) EP (71) Applicant (for all designated States except US): BIOCHIP TECHNOLOGIES GMBH [DE/DE]; Engesserstrasse 4b, D-79108 Freiburg (DE). (72) Inventors; and (75) Inventors/Applicants (for US only): KLAPPROTH, Holger [DE/DE]; Kehlerstrasse 12, D-79108 Freiburg (DE). BERNAUER, Hubert, S. [DE/DE]; Weberstrasse 38, D-79249 Merzhausen (DE). (74) Agent: VOSSIUS & PARTNER; Siebertstrasse 4, D-81675 München (DE).		(81) Designated States: CA, JP, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> (88) Date of publication of the international search report: 21 October 1999 (21.10.99)	
(54) Title: METHOD FOR THE DETECTION OR NUCLEIC ACID OF NUCLEIC ACID SEQUENCES			
(57) Abstract			
<p>The present invention relates to a novel method for the detection of a nucleic acid sequence within a nucleic acid molecule. The method of the invention relies on the combination of nucleic acid protection, ligation of oligonucleotides to the protected nucleic acid molecules and amplification of the ligation products. The detection of the amplified products is advantageously effected by converting the same to the single-stranded form and hybridizing the single-stranded form thereof to an array of single-stranded nucleic acid molecules of at least partially predetermined sequence fixed to a solid support. The solid support is preferably a chip. Detection of hybridized molecules can be effected according to conventional methods. The present invention additionally relates to a kit for carrying out the method of the invention.</p>			

# INTERNATIONAL SEARCH REPORT

Int. .tional Application No

PCT/EP 99/00161

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C12Q1/68

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C12Q

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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Y	WO 97 19192 A (UNIV BOSTON ;RUSSEK SHELLEY J (US); FARB DAVID H (US)) 29 May 1997 (1997-05-29) the whole document ---	1-14
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Y	EP 0 372 524 A (GEN HOSPITAL CORP) 13 June 1990 (1990-06-13) figure 1 --- -/--	1-14



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

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